

A new diagnosis strategy under the PMC model and applications^①

Liang Jiarong (梁家荣)^{***}, Chen Fang^{②*}, Zhang Qian^{*}

(^{*} School of Computer and Electronic Information, Guangxi University, Nanning 530004, P. R. China)

(^{**} Guangxi Key Laboratory of Multimedia Communications and Network Technology, Nanning 530004, P. R. China)

Abstract

A new diagnosis method, called Double-Syndrome diagnostic, is proposed, which can identify faulty nodes by comparing 2 different syndromes. For the same system, the average number of faulty nodes identified correctly by the Double-Syndrome diagnostic is much greater than the t -diagnosability and the (t_1/t_1) -diagnosability of the system. Furthermore, in order to identify the remaining faulty nodes in the system, two strategies of fault diagnostic are proposed, one is called (k, t) -fault diagnosable strategy, another is called $(k, t/t)$ -fault diagnosable strategy. Besides, the conditional (k, t) -diagnosable ($(k, t/t)$ -diagnosable) system is introduced. Furthermore, the conditional diagnosabilities are proved for some regular (k, t) -diagnosable and $(k, t/t)$ -diagnosable networks such as n -dimensional hypercube network and n -dimensional star network. And then, for a system, its (k, t) -conditional diagnosability and its $(k, t/t)$ -conditional diagnosability are identical, and in the worst case, they are equal to their traditional conditional diagnosability.

Key words: Double-Syndrome diagnostic, (k, t) -diagnosable, $(k, t/t)$ -diagnosable, hypercube, 2D(3D) mesh, permutation star graph

0 Introduction

With the rapid development of multiprocessors, multiprocessor computer systems contain hundreds and thousands of processors now^[1]. It is inevitable that some processors in such a system may fail. To ensure reliability, the system should have the ability to identify the faulty processors which are then isolated from the system or replaced by additional fault-free ones^[2]. In order to maintain the reliability of the system, automatic diagnosis procedures were proposed by Preparata et al.^[3] and Somani et al.^[4], which is known as system-level diagnosis. Preparata et al.^[3] proposed the first system-level diagnosis model, namely the PMC model, which can be represented by a digraph $G = (V, E)$ and the edge (i, j) means node i tests node j . A test result $\omega(i, j)$ is associated with each (i, j) and $\omega(i, j) = 1(0)$ if i evaluates j to be faulty (fault-free). A complete set of test results associated with the edges of the system is called a syndrome^[5-7]. For a syndrome σ , let $\omega(\sigma; i, j) = \omega(i, j)$ where $\omega(i, j) \in \sigma$. Under the PMC model, there are 2 fundamentally different strategies to system-level diagnosis: t -diagnosis^[3] and t/t -diagnosis^[3-9]. A sys-

tem is t -diagnosable if and only if all the nodes can be identified by the system correctly in the presence of most t faulty nodes^[10]. And a system is t/t -diagnosable if and only if all the faulty nodes can be isolated by it to within a set of size at most t in the presence of at most t faulty nodes^[11,12]. However, the diagnosability (t -diagnosable and t/t -diagnosable) of a system given by $G = (V, E)$ is nearly depending on the degree of the graph G , which results in that the improvement of the diagnosability of one system by using traditional method becomes increasingly difficult^[11-16]. Therefore, this provides a strong motivation to discover a new diagnosis method, for which more faulty nodes can be identified correctly. Next section will present a new diagnosis method, called Double-Syndrome diagnostic, under the PMC model, for which more faulty nodes can be identified correctly. Section 2 proposes a new system called (k, t) -diagnosable and the characterization and some properties of such systems are also presented. Section 3 proposes a new system called $(k, t/t)$ -diagnosable system and the characterization and some properties of such a system are also presented. Section 4 uses properties of these 2 systems and Double-Syndrome diagnostic to further increase the number

① Supported by the National Natural Science Foundation of China (No. 61862003, 61761006) and the Natural Science Foundation of Guangxi of China (No. 2018GXNSFDA281052, 2017GXNSFAA198263).

② To whom correspondence should be addressed. E-mail: 2285437012@qq.com

Received on Jan. 23, 2019

of faulty nodes which can be identified correctly. In Section 5, a further study is proposed on above 2 diagnosable systems under the conditional diagnosis and figure out some special conditional diagnosability of above 2 diagnosable systems. In the last section, a conclusion is drawn.

1 Double-Syndrome diagnostic

Under PMC model, for a system given by $G = (V, E)$, let $\Gamma u = \{v \mid (u, v) \in E, u, v \in V\}$ and $\Gamma u^{-1} = \{v \mid (v, u) \in E, u, v \in V\}$. Similarly, for any subset $X \subset V$, $\Gamma X = \bigcup_{u \in X} \Gamma u$ and $\Gamma X^{-1} = \bigcup_{u \in X} \Gamma u^{-1}$. Without loss of generality, let $\Gamma u = \{v_0, v_1, v_2, v_3, \dots, v_m\}$ and for a syndrome σ_i , let $\omega(u, \sigma_i) = (\omega(\sigma_i; u, v_0), \omega(\sigma_i; u, v_1), \omega(\sigma_i; u, v_2), \dots, \omega(\sigma_i; u, v_m))$.

Lemma 1 For a system given by $G = (V, E)$ and 2 different syndromes σ_1 and σ_2 , $u \in V$, if $\omega(u, \sigma_1), \omega(u, \sigma_2)$, then u is a faulty node.

Proof Suppose that, to the contrary, u is fault-free. Since $\omega(u, \sigma_1), \omega(u, \sigma_2)$, there exists some $\omega(\sigma_1; u, v_k)$ such that $\omega(\sigma_1; u, v_k), \omega(\sigma_2; u, v_k)$. Without loss of generality, let $\omega(\sigma_1; u, v_0) = 1$ and $\omega(\sigma_2; u, v_0) = 0$. $\omega(\sigma_1; u, v_0) = 1$ implies v_0 is faulty. On the other hand, $\omega(\sigma_2; u, v_0) = 0$ implies v_0 is fault-free, a contradiction complete the proof.

Now, Double-Syndrome diagnostic (Algorithm 1) is introduced as follows.

Algorithm 1 Double-Syndrome diagnostic

Require:

A system given by $G = (V, E)$ with n nodes and 2 different syndromes σ_1 and σ_2 .

Ensure:

A set of faulty nodes.

1) For each node $v_i \in V (0 \leq i \leq n-1)$, if $\omega(v_i, \sigma_1) = \omega(v_i, \sigma_2)$, continue the Double-Syndrome diagnostic, otherwise, mark v_i with fault and continue the Double-Syndrome diagnostic.

2) Output the nodes marked with fault.

Under the PMC model, the test result of one faulty node testing the other nodes is unreliable^[15,16]. In other words, the value of $\omega(u, v)$ is stochastic where u is a faulty node. For convenience, the possibility of test result 1 (or 0) of each faulty node testing other nodes is equivalent and let $P(u, v; 1) = \alpha (P(u, v; 0) = 1 - \alpha)$ be the possibility of test result 1 (0) of one faulty node u testing another node v (v can be faulty or fault-free).

Definition 1 Let A be a event and $P(A)$ be the possibility of the event A happened.

Property 1 For a system given by $G = (V, E)$, suppose that $u \in V$ is a faulty node with $|\Gamma u| = m$. For any 2 stochastic syndromes σ_1 and σ_2 , let $P(u)$ be the possibility that u is not marked with fault by Double-Syndrome diagnostic. Then $P(u) = P(\omega(u, \sigma_1) = \omega(u, \sigma_2)) = \alpha^l \times (1 - \alpha)^k$ with $l + k = m$. Without loss of generality, let $\alpha \geq 0.5$, then $P(u) \leq \alpha^m$.

Property 2 For a system given by $G = (V, E)$ with n nodes and t faulty nodes. Let $E(G)$ be the mean number of faulty nodes which can be identified by Double-Syndrome diagnostic. Let $F = \{v_i, 0 \leq i \leq t-1\}$ be the set of the faulty nodes in the system, then $E(G) = \sum_{i=0}^{t-1} [1 - P(v_i)]$.

Definition 2 A regular graph is a graph, in which each vertex has the same number of neighbors. Let $D(G)$ be the number of neighbors of each vertex in $G = (V, E)$.

Property 3 For a system given by $G = (V, E)$ with n nodes and t faulty nodes. If $G = (V, E)$ is a regular graph, then $E(G) = t(1 - p(v))$, where $v \in V$ is a faulty node.

The lower bounds of $E(G)$ under the n -dimensional hypercube with a different α are shown in Table 1. Here, t denotes the exact faulty number in the system.

Table 1 The changes of $E(G)$ of n -dimensional hypercube

$E(G) \geq$	$n = 6$	$n = 7$	$n = 8$	$n = 9$	n
$\alpha = 0.5$	$0.98t$	$0.99t$	$0.996t$	$0.998t$	$(1 - 0.5^n)t$
$\alpha = 0.7$	$0.88t$	$0.918t$	$0.942t$	$0.96t$	$(1 - 0.7^n)t$
$\alpha = 0.9$	$0.47t$	$0.52t$	$0.57t$	$0.61t$	$(1 - 0.9^n)t$

For a system given by $G = (V, E)$, average $E(G)$ faulty nodes can be identified by Double-Syndrome diagnostic correctly. For given 2 syndromes σ_1 and σ_2 , there may exist some faulty nodes which cannot be identified by Double-Syndrome diagnostic. In next section, another diagnosable method is proposed to deal with these unidentified faulty nodes.

2 Two-step (k, t)-diagnosable system

For a system given by $G = (V, E)$, under the assumption that k -faulty nodes have been identified, it is a very interesting problem to recognize the remaining faulty nodes as much as possible. It is worth noting that with the different distribution of the k -identified nodes, the number of remaining faulty nodes which can be identified may be different^[17].

Definition 3 A system is one-step t -diagnosable

if all faulty nodes can be recognized without replacement provided the number of faulty nodes does not exceed $t^{[3]}$.

Definition 4 Given a system by $G = (V, E)$ and a syndrome σ , a set $X \subseteq V$ is called an allowable fault set (AFS) of the system for syndrome σ if for any 2 nodes i, j such that $(i, j) \in E$, the following conditions hold: if $i, j \in V - X$ then $\omega(\sigma; i, j) = 0$, and if $i \in V - X$ and $j \in X$ then $\omega(\sigma; i, j) = 1$.

It is worth noting that given a system by $G = (V, E)$, a syndrome σ and a fault set F , then there must exist an allowable fault set F' , such that $F \subseteq F'$. In other words, there must exist a subset $S \subset V$ such that $F \cup S$ is an allowable fault set for syndrome σ .

Definition 5 A system is two-step (k, t) -diagnosable if under the condition that k faulty nodes have been already recognized, the all remaining faulty nodes can be identified provided the number of faulty nodes in the system does not exceed $k + t$.

It is worth noting that according to Definition 3 and Definition 5, a one-step $(k + t)$ -diagnosable system must be two-step (k, t) -diagnosable system, but the inverse is not true. Now an example is given which is two-step (k, t) -diagnosable but not one-step $(k + t)$ -diagnosable.

Consider a system $G = (V, E)$ shown in Fig. 1, it is a two-step $(2, 1)$ -diagnosable system. In fact, for any given syndrome σ produced by the system in the presence of the fault set F with $|F| \leq 3$, if $|F| \leq 2$, then the conclusion is true according to the definition. We shall show it is also true when $|F| = 3$. Now we only need to consider following 3 cases due to the symmetry of the system. Let $F_c \subset F$ be the possible identified faults set.

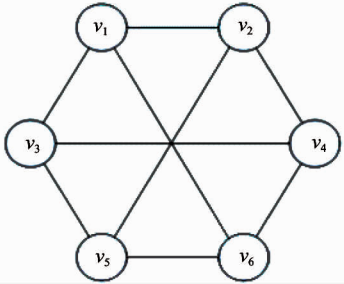


Fig. 1 An example of a two-step $(2, 1)$ -diagnosable system

Case 1 $F_c = \{v_1, v_2\}$.

There is only one faulty node in $\{v_3, v_4, v_5, v_6\}$ and subgraph induced by $\{v_3, v_4, v_5, v_6\}$ is connected. For the given syndrome σ , there always exist 2 adjacent nodes $u, v \in \{v_3, v_4, v_5, v_6\}$ such that at least one of $\omega(\sigma; u, v)$ and $\omega(\sigma; u, v)$ is 1. Then the faulty node belongs to $\{u, v\}$ and $\{v_3, v_4, v_5, v_6\} - \{u, v\}$ are

all fault-free. Therefore, the remaining faulty node can be identified by the test results of their neighbors testing them.

Case 2 $F_c = \{v_1, v_3\}$.

For any 2 adjacent nodes $u, v \in \{v_4, v_5, v_6\}$, if $\omega(\sigma; u, v) = 0$, then v_5 is the remaining faulty node. Otherwise, v_5 is fault-free and v_5 can be identified correctly. Furthermore, v_4, v_6 can also be identified correctly.

Case 3 $F_c = \{v_1, v_4\}$.

Note that the subgraph induced by $\{v_2, v_3, v_5, v_6\}$ is isomorphic to the subgraph induced by $\{v_3, v_4, v_5, v_6\}$. A similar argument of Case 1 can be used.

Above all, the system shown in Fig. 1 is a two-step $(2, 1)$ -diagnosable system. However, it is not a one-step 3-diagnosable system due to the fact that $|V| = 6 < 2 \times 3 + 1$.

With the definition of the two-step (k, t) -diagnosable system, the characterization of this kind of system is presented.

Theorem 1 A system given by $G = (V, E)$ is two-step (k, t) -diagnosable if and only if for each subset $F_c \subset V$ with $|F_c| = k$ and any 2 distinct subsets $|S_1| \leq t, |S_2| \leq t$ with $|S_1| \leq t, |S_2| \leq t$, there exists an edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$.

Proof Necessity: suppose that a system is two-step (k, t) -diagnosable, there exist some $F_c \subset V$ with $|F_c| = k$ and some pair of subsets $S_1, S_2 \subset V - F_c$ with $S_1 \neq S_2, |S_1| \leq t, |S_2| \leq t$ such that there are no edges from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Consider a syndrome σ such that for each $(i, j) \in E$: if $i, j \in V - S_1 - S_2 - F_c$, then $\omega(\sigma; i, j) = 0$, if $i \in V - S_1 - S_2 - F_c$ and $j \in F_c \cup S_1 \cup S_2$, then $\omega(\sigma; i, j) = 1$, other possible test results can be arbitrary.

For such syndrome σ and the identified fault set F_c , both $F_c \cup S_1$ and $F_c \cup S_2$ are all allowable fault sets of cardinality at most $t + k$, which is a contradiction to the hypothesis.

Sufficiency: suppose that, to the contrary, the system is not two-step (k, t) -diagnosable, implying that there exists a syndrome σ by which a k -node fault set F_c can be identified, and that there exist 2 distinct subsets $S_1, S_2 \subset V - F_c$ of cardinality at most t such that $F_c \cup S_1$ and $F_c \cup S_2$ are allowable fault sets. Noting that there exists an edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Without loss of generality, let $i \in V - S_1 - S_2 - F_c, j \in (S_1 - S_2)$ with $(i, j) \in E$. If $\omega(\sigma; i, j) = 1$, then $F_c \cup S_2$ is not an allowable fault set. If $\omega(\sigma; i, j) = 0$, $F_c \cup S_1$ is not an allowable fault set. This is a contradiction.

Note that two-step (k, t) -diagnosable system can be considered to be a generalization of t -diagnosable system, since if $k = 0$, two-step (k, t) -diagnosable system corresponds directly to t -diagnosable system.

Corollary 1 If a system is two-step (k, t) -diagnosable, then the system is also two-step $(k, t-1)$ -diagnosable.

Proof According to Theorem 1, the result is true.

Corollary 2 If a system given by $G = (V, E)$ is two-step (k, t) -diagnosable, then the system is also two-step $(k-1, t)$ -diagnosable.

Proof Assume that, to the contrary, the system is not two-step $(k-1, t)$ -diagnosable, thus, there exist a subset $F_c \subset V$ with $|F_c| = k-1$ and a pair of subsets $S_1, S_2 \subset V - F_c$ with $S_1 \neq S_2$, $|S_1| \leq t$, $|S_2| \leq t$, such that there are no edges from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Let $v \in V - S_1 - S_2 - F_c$ and $F'_c = F_c + \{v\}$. Since there are no edges from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$, there are also no edges from $V - S_1 - S_2 - F'_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$ which is a contradiction to the assumption that the system is two-step (k, t) -diagnosable.

Corollary 3 If a system given by $G = (V, E)$ is two-step (k, t) -diagnosable ($k > 2, t > 1$), then the system is also two-step $(k-2, t+1)$ -diagnosable.

Proof Assume that, to the contrary, the system is not two-step $(k-2, t+1)$ -diagnosable, thus, there exist a subset $F_c \subset V$ with $|F_c| = k-2$ and a pair of subsets $S_1, S_2 \subset V - F_c$ with $S_1 \neq S_2$, $|S_1| \leq t+1$, $|S_2| \leq t+1$, such that there are no edges from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$. According to Lemma 1, the system is two-step $(k-2, t)$ -diagnosable, implying that either $|S_1| \leq t+1$ or $|S_2| \leq t+1$. Consider the following cases.

Case 4 $|S_1| = t+1$ and $|S_2| = t+1$.

Let $v \in S_1 - S_2$, $u \in S_2 - S_1$ and $F'_c = F_c \cup \{v\} \cup \{u\}$, $S'_1 = S_1 - \{v\}$, $S'_2 = S_2 - \{u\}$. Note that $|F_c| = k$ and $S'_1 \neq S'_2$, $|S'_1| \leq t$, $|S'_2| \leq t$, and $V - S'_1 - S'_2 - F'_c = V - S_1 - S_2 - F_c$, $(S'_1 - S'_2) \cup (S'_2 - S'_1) \subseteq (S_1 - S_2) \cup (S_2 - S_1)$. Therefore, there are also no edges from $V - S'_1 - S'_2 - F'_c$ to $(S'_1 - S'_2) \cup (S'_2 - S'_1)$ which is a contradiction to the assumption that the system is two-step (k, t) -diagnosable.

Case 5 $|S_1| \leq t$ and $|S_2| = t+1$.

If $S_1 \subseteq S_2$, then $|S_1 \cap S_2| \geq 1$. Since a two-step (k, t) -diagnosable system has at least $t+k$ nodes and $|F_c \cup S_1 \cup S_2| = t+k-1$, there always exists a node $v \in V - S_1 - S_2 - F_c$. Let $u \in S_1 \cap S_2$ and $F'_c = F_c \cup \{v\} \cup \{u\}$, $S'_1 = S_1 - \{u\}$, $S'_2 = S_2 - \{u\}$. Note

that $|F_c| = k$ and $S_1 \neq S_2$, $|S'_1| \leq t$, $|S'_2| \leq t$ and $V - S'_1 - S'_2 - F'_c \subseteq V - S_1 - S_2 - F_c$, $(S'_1 - S'_2) \cup (S'_2 - S'_1) = (S_1 - S_2) \cup (S_2 - S_1)$. Therefore, there also are no edges from $V - S'_1 - S'_2 - F'_c$ to $(S'_1 - S'_2) \cup (S'_2 - S'_1)$ which is a contradiction to the assumption that the system is two-step (k, t) -diagnosable. And a similar argument of Case 4 can be used when $S_1 \not\subseteq S_2$.

Case 6 $|S_1| = t+1$ and $|S_2| \leq t$.

A similar argument of Case 6 can be used.

3 Two-step $(k, t/t)$ -diagnosable system

In the previous section, the generalization of t -diagnosable system is discussed, namely the two-step (k, t) -diagnosable system. Next we shall consider the generalization of t/t -diagnosable system, namely the two-step $(k, t/t)$ -diagnosable.

Definition 6 A system S is t/t -diagnosable if given any syndrome and a positive integer t , the faulty nodes can be isolated within a set of at most t nodes provided the number of faulty nodes does not exceed $t^{[19]}$.

Definition 7 A system is two-step $(k, t/t)$ -diagnosable if and only if given any syndrome and a pair positive integers t, k , under the condition that k faulty nodes have been already identified correctly, all the remaining faulty nodes can be isolated within a set of size at most t in the presence of at most $t+k$ faulty nodes in all. With the definition of the two-step $(k, t/t)$ -diagnosable system, we shall present the characterization of this kind of system.

Theorem 2 For a system S given by $G = (V, E)$, the following 3 statements are equivalent.

1) S is two-step $(k, t/t)$ -diagnosable.

2) For each subset $F_c \subset V$ with $|F_c| = k$ and for any 2 distinct subsets $S_1, S_2 \subset V - F_c$ with $|S_1| = |S_2| = t$, there exists an edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$.

3) For each subset $F_c \subset V$ with $|F_c| = k$ and for any 2 distinct subsets $S_1, S_2 \subset V - F_c$ with $S_1 \not\subseteq S_2$, $S_2 \not\subseteq S_1$, $|S_1| \leq t$, $|S_2| \leq t$, there exists an edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$.

Proof The proof is similar to the proof of Lemma 1 in Ref. [10].

According to Theorem 6, the following corollaries can be concluded.

Corollary 4 If a system given by $G = (V, E)$ is two-step $(k, t/t)$ -diagnosable, then the system is also two-step $(k, t-1/t-1)$ -diagnosable.

Corollary 5 If a system given by $G = (V, E)$ is

two-step $(k, t/t)$ -diagnosable, then the system is also two-step $(k - 1, t/t)$ -diagnosable.

Corollary 6 If a system given by $G = (V, E)$ is two-step $(k, t/t)$ -diagnosable, then the system is also two-step $(k - 2, t + 1/t + 1)$ -diagnosable.

Next section will analysis the specific situation by using the theories of two-step (k, t) -diagnosable system and two-step $(k, t/t)$ -diagnosable system.

4 Combine to the Double-Syndrome diagnostic

For a system given by $G = (V, E)$ and a fault set F_c identified by Double-Syndrome diagnostic with $|F_c| = k$, if there exists a node $v \in V$ such that $\Gamma v^{-1} \subseteq F_c$, then the node v cannot be judged as faulty or fault-free. Therefore, whether all the remaining faulty nodes can be identified or not depends on the distribution of F_c . Next it will be discussed that with the changes of distribution of F_c , how many remaining faulty nodes can be identified.

Definition 8 For a two-step (k, t) -diagnosable system S and a fault set F_c identified by Double-Syndrome diagnostic or other methods, the diagnosability of the two-step (k, t) -diagnosable based on F_c , denoted by $T(S, F_c)$, is the maximum number of nodes which is guaranteed to be identified as faulty correctly. To facilitate the discussion, the following theorem is equivalent to Theorem 1.

Theorem 3 For a system given by $G = (V, E)$ and a fault set $F_c \subseteq V$ with $|F_c| = k$, then all the remaining faulty nodes at most t can be identified if and only if for any 2 subsets $S_1, S_2 \subset V$ with $S_1 \neq S_2$, $|S_1| \leq t$, $|S_2| \leq t$ such that $\Gamma(S_1 - S_2)^{-1} \not\subseteq F_c \cup S_2$ or $\Gamma(S_2 - S_1)^{-1} \not\subseteq F_c \cup S_1$.

Corollary 7 For a system given by $G = (V, E)$ and a fault set F_c with $|F_c| = k$, if for each subset $U \subset V - F_c$ with $|U| \leq 2t$, there exists no such subset $X \subseteq U$ such that $\Gamma X^{-1} \subseteq F_c \cup (U - X)$, then all the remaining faulty nodes can be identified provided the number of remaining faulty does not exceed t .

Proof For any distinct subsets $S_1, S_2 \subseteq V$ with $|S_1| \leq t$, $|S_2| \leq t$, let $U = S_1 \cup S_2$ and $X = (S_2 - S_1) \cup (S_1 - S_2)$. if $\Gamma X^{-1} \not\subseteq (F_c \cup (U - X))$, then it is easily seen that the condition of the Theorem 1 is satisfied.

The following theorem is equivalent to Theorem 2.

Theorem 4 For a system given by $G = (V, E)$ and a fault set F_c with $|F_c| = k$, all remaining faulty nodes at most t can be isolated within a set of size at most t if and only if for any 2 subsets $S_1, S_2 \subset V - F_c$

with $S_1 \not\subseteq S_2, S_2 \not\subseteq S_1, |S_1| \leq t, |S_2| \leq t$, such that $\Gamma(S_1 - S_2)^{-1} \not\subseteq F_c \cup S_2$ or $\Gamma(S_2 - S_1)^{-1} \not\subseteq F_c \cup S_1$.

Corollary 8 For a system given by $G = (V, E)$ and a fault set F_c with $|F_c| = k$, if for each subset $S \subset V$ with $|S| \leq 2t$, there exists no such a subset $X \subseteq S$ such that $\Gamma X^{-1} \subseteq F_c \cup (S - X)$, then all remaining faulty nodes can be isolated within a set of size at most t provided the number of remaining faulty does not exceed t .

A similar proof of Corollary 7 can be used.

Observe above theorems and corollaries, when the neighbors of some nodes are all faulty, it cannot be correctly identified such a node. Therefore the following conclusion.

Theorem 5 For a system S given by $G = (V, E)$, if S is t' -diagnosable (but not $t' + 1$ -diagnosable) and also two-step (k, t) -diagnosable (but neither two-step $(k, t + 1)$ -diagnosable nor two-step $(k + 1, t)$ -diagnosable) ($t' \geq k$), then its diagnosability satisfies the following inequality: $T(S, F_c) \geq t'$ where $F_c \subseteq V$ and $|F_c| = k$.

Proof According to Definition 4 and Definition 8, for any given fault set $F_c \subseteq V$ with $|F_c| = k$, $T(S, F_c) \geq t + k$. Next, it can be shown that $t + k \geq t'$. Otherwise, $t + k < t'$. The system is neither two-step $(k, t + 1)$ -diagnosable nor two-step $(k + 1, t)$ -diagnosable implies that for some fault set $F_c \subseteq V$ with $|F_c| = k$ there exists 2 distinct subsets $S_1, S_2 \subset V - F_c$ with $|S_1| \leq t + 1, |S_2| \leq t + 1$, there exists no edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Let $U_1 = F_c \cup S_1, U_2 = F_c \cup S_2$. Then $|U_1|, |U_2| \leq t + k + 1$. Note that there exists no edge from $V - U_1 - U_2 - F_c$ to $(U_1 - U_2) \cup (U_2 - U_1)$. Therefore, the system is not $(t + k + 1)$ -diagnosable, which implies $t + k + 1 > t'$, this is a contradiction.

Note that the number of fault-free neighbors of each node is related to the diagnosability of kinds of diagnosable systems^[20,21]. Ref. [20] considered the situation that each node has at least one fault-free neighbor in the system and proposed the concept of conditional diagnosability. The next section will extend the concept of conditional diagnosability to two-step (k, t) -diagnosable ($(k, t/t)$ -diagnosable) system and present the characterizations of conditional two-step (k, t) -diagnosable ($((k, t/t)$ -diagnosable) systems.

5 Conditional two-step $(k-t)$ -diagnosable and two-step $(k, t/t)$ -diagnosable

The hypercube structure is a well-known network

model for multi-processor systems. Fault-tolerant computing for n -dimensional hypercube has been of interest to many researchers^[17-19,21]. In this subsection, the conditional t/t -diagnosability of n -dimensional hypercube is studied.

Definition 9 For a system given by $G = (V, E)$, a subset $S \subset V$ is called a conditional subset if there exists no such a node $v \in V$ such that $Fv^{-1} \subseteq S$.

Lemma 2 A system given by $G = (V, E)$ is conditionally t -diagnosable if and only if for any 2 conditional subsets $S_1, S_2 \subseteq V$ with $S_1 \neq S_2$, $|S_1| \leq t$, $|S_2| \leq t$, there exists an edge from $V - S_1 - S_2$ to $(S_1 - S_2) \cup (S_2 - S_1)$ ^[22].

Definition 10 A system is conditionally two-step (k, t) -diagnosable under the condition that k faulty nodes have been already recognized, and all the remaining faulty nodes can be identified provided the number of faulty nodes in the system does not exceed $k + t$ and each node of the system has at least one fault-free neighbor.

Theorem 6 A system given by $G = (V, E)$ is conditional two-step (k, t) -diagnosable if and only if for any conditional subset F_c with $|F_c| = k$ and any 2 conditional subsets $S_1, S_2 \subset V - F_c$ with $S_1 \neq S_2$, $|S_1| \leq t$, $|S_2| \leq t$, $F_c \cup S_1$ and $F_c \cup S_2$ are conditional subsets, there exists an edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$.

Proof Necessity: suppose that a system is conditional two-step (k, t) -diagnosable and for some conditional subset F_c with $|F_c| = k$ and 2 conditional subsets $S_1, S_2 \subset V - F_c$ with $S_1 \neq S_2$, $|S_1| \leq t$, $|S_2| \leq t$, $F_c \cup S_1$ and $F_c \cup S_2$ are conditional subsets, there exists no edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Consider a syndrome σ satisfying the following conditions for all nodes i, j such that $(i, j) \in E$. If $i, j \in V - S_1 - S_2 - F_c$, then $\omega(\sigma; i, j) = 0$. If $i \in V - S_1 - S_2 - F_c$ and $j \in S_1 \cup S_2$, then $\omega(\sigma; i, j) = 1$. If $i \in (S_1 - S_2) \cup (S_2 - S_1)$ and $j \in F_c \cup (S_1 \cap S_2)$, then $\omega(\sigma; i, j) = 1$. Other possible test results can be arbitrary.

According to Definition 3, that S_1 and S_2 are all allowable fault sets. Therefore, it cannot be identified that which one is the real fault set which is a contradiction to the hypothesis.

Sufficiency Suppose that, to the contrary, the system is not conditional two-step (k, t) -diagnosable. Thus, there exist a conditional subset $F_c \subseteq V$ with $|F_c| = k$ and 2 conditional subsets $S_1, S_2 \subset V$ with $S_1 \neq S_2$, $|S_1| \leq t$, $|S_2| \leq t$, and $F_c \cup S_1$ and $F_c \cup S_2$ are conditional subsets, such that $F_c \cup S_1$ and $F_c \cup S_2$

are all allowable fault sets. Noting that there exists an edge from $V - S_1 - S_2 - F_c$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Without loss of generality, let $i \in V - S_1 - S_2 - F_c$, $j \in (S_1 - S_2)$ with $(i, j) \in E$. For a syndrome σ , if $\omega(\sigma; i, j) = 1$, then S_2 is not an allowable fault set, otherwise, S_1 is not an allowable fault set which is a contradiction to the hypothesis. Similarly, $j \in (S_1 - S_2)$ also leads to a contradiction to the hypothesis.

Definition 11 For a conditionally two-step (k, t) -diagnosable system S and a fault set F_c identified by Double-Syndrome diagnostic or other methods, the diagnosability of the conditionally two-step (k, t) -diagnosable systems based on F_c , denoted by $T_c(S, F_c)$, is the maximum number of nodes that are guaranteed to be identified as faulty correctly.

Theorem 7 For a system S given by $G = (V, E)$, if S is conditionally t' -diagnosable (but not conditionally $t' + 1$ -diagnosable) and also conditionally two-step (k, t) -diagnosable (but neither conditionally two-step $(k, t + 1)$ -diagnosable nor conditionally two-step $(k + 1, t)$ -diagnosable) ($t' \geq k$), then its diagnosability satisfies following inequality: $T_c(S, F_c) \geq t$ where $F_c \subseteq V$ and $|F_c| = k$.

Proof A similar argument of Theorem 5 can be used.

Lemma 3 Suppose that an undirected graph $G = (V, E)$ denotes a system and that each node in $G = (V, E)$ has at least one fault-free neighbor. For any set $S \subset V$ with $|S| \leq 3$, if $N(S)$ are all faulty nodes, then each node of S can be identified correctly.

Proof Let $|S| = m$ and $S = \{v_i; 1 \leq i \leq m\}$.

Now discuss the following cases:

Case 7 $m = 2$.

It is obvious that if $v_1(v_2)$ is faulty, then $N(v_2)(N(v_1))$ is all faulty nodes which is a contradiction to the condition. Therefore, v_1, v_2 are all fault-free.

Case 8 $m = 3$ and S can form a cycle.

There is at most 1 faulty node in S . Otherwise, there are at least 2 faulty nodes in S , without loss of generality, assume that v_1, v_2 are faulty nodes, then $N(v_3)$ are all faulty nodes which contradict the assumption. It is easy to judge the state (faulty or fault-free) of each node by observing the syndrome.

Case 9 $m = 3$ and S cannot form a cycle.

Since each node has at least one fault-free neighbor and $N(S)$ are all faulty nodes, S is connected. The middle node v_2 of S is fault-free, otherwise, $N(v_1)$ and $N(v_3)$ are all faulty nodes, which is a contradiction to the assumption. Furthermore, the other 2 nodes can be identified correctly.

5.1 n -dimensional hypercube

Lemma 4 Let $G = (V, E)$ be the graph of a hypercube of n dimension and $X \subseteq V$ with $|X| = k$, $1 \leq k \leq n+1$, then $N(X) > kn - \frac{k(k+1)}{2} + 1$ [22].

Lemma 5 n -dimensional ($n \geq 5$) hypercube is conditional $[4(n-2) + 1]$ -diagnosable [20].

Next, the n -dimensional ($n \geq 5$) hypercube given by $G = (V, E)$ is not conditional $[4(n-2) + 1]$ -diagnosable. Let $S = \{v_0, v_1, v_2, v_3\}$ where S can form a cycle and $S_1 = N(S) \cup \{v_0, v_1\}$, $S_2 = N(S) \cup \{v_2, v_3\}$. Note that $|N(S)| = 4(n-2)$ and $S_1 = S_2 = 4(n-2) + 2$. For subsets S_1, S_2 , there exists no such a node v that $N(v) \subseteq S_1$ or $N(v) \subseteq S_2$. And for subsets S_1, S_2 , there exists no edge from $V - S_1 - S_2$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Therefore, the system is not conditional $[4(n-2) + 2]$ -diagnosable.

Note that if a system is t -diagnosable, then such system must be t/t -diagnosable [23]. Therefore, n -dimensional ($n \geq 5$) hypercube given by $G = (V, E)$ is conditional $(4n-7)/(4n-7)$ -diagnosable [24]. Furthermore, the n -dimensional ($n \geq 5$) hypercube given by $G = (V, E)$ is not conditional $(4n-6)/(4n-6)$ -diagnosable.

Theorem 8 n -dimensional ($n \geq 5$) hypercube is not conditional $(4n-6)/(4n-6)$ -diagnosable.

Proof Let $S = \{v_0, v_1, v_2, v_3\}$ where S can form a cycle and $S_1 = N(S) \cup \{v_0, v_1\}$, $S_2 = N(S) \cup \{v_2, v_3\}$. Note that $|N(S)| = 4(n-2)$ and $S_1 = S_2 = 4(n-2) + 2$. Now consider following syndrome σ under the condition that all nodes of $N(S)$ are faulty.

1) The test results from S to $N(S)$ are 1.

2) $\omega(\sigma; v_0, v_1) = 0$, $\omega(\sigma; v_1, v_0) = 0$, $\omega(\sigma; v_2, v_3) = 0$, $\omega(\sigma; v_3, v_2) = 0$, $\omega(\sigma; v_1, v_2) = 1$, $\omega(\sigma; v_2, v_1) = 1$, $\omega(\sigma; v_0, v_3) = 1$, $\omega(\sigma; v_3, v_0) = 1$.

3) The other possible test results are arbitrary.

For above syndrome σ , the system cannot isolate all faulty nodes within a set of size at most $4n-6$. Therefore, the n -dimensional ($n \geq 5$) hypercube is not conditional $(4n-6)/(4n-6)$ -diagnosable.

5.2 Permutation star graph

Lemma 6 Let $G = (V, E)$ be the graph of a star graph of n ($n \geq 4$) dimension and $X \subseteq V$ with $|X| = 8$ and X can form an 8-node ring, then $|N(X)| = 8n - 24$.

Proof According to the symmetry of star graph, each 8-node ring in n ($n \geq 4$) dimensional star graph is equivalent. Therefore, consider following case as Fig. 2, the 1234A represents n -bit position of v_1 and A

is a $(n-4)$ -bit position which consists of 5, 6, ..., n . Let $add(v, i, j)$ be the address of node v from number i bit to number j bit. Note that in Fig. 2, $add(v_1, 2, 4) \neq add(v_j, 2, 4)$ where $i, j \in [1, 2, \dots, 7]$ and $i \neq j$. Therefore, for each node of Fig. 2, it has $(n-4)$ private neighbors. Note that each node of n -dimensional star graph has $(n-1)$ adjacent nodes and for each node of an 8-node ring, for example, v_1 has 2 adjacent nodes in the ring and $n-4$ private neighbors outside the ring. Then the address of the last neighbor of v_1 is 2134A which shows that last neighbor of v_1 is also the private neighbor of v_1 . Similarly, the last neighbor of node v_2 ($v_3 \dots v_8$) is also their private neighbors. Thus, each node of an 8-node ring has $n-3$ private neighbors and let X be an 8-node ring, then $|N(X)| = 8n - 24$.

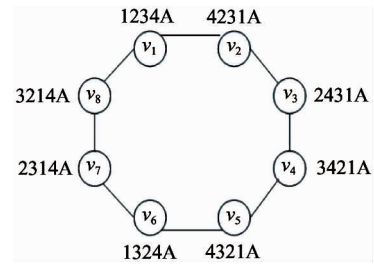


Fig. 2 An 8-node ring of n -dimensional star graph

Lemma 7 In an n -dimensional star graph, there are no odd cycles and there are even cycles with length l where $l \geq 6, l \leq n$ [25].

Lemma 8 In an n -dimensional star graph, let u be a node and let u_1, u_2, \dots, u_{n-1} be $n-1$ neighbors of it [22]. Then every pair u_i, u_j and node u form a loop with 3 other nodes which are unique.

Lemma 9 n -dimensional ($n \geq 5$) star graph given by $G = (V, E)$ is conditional $[8(n-3) + 3]$ -diagnosable [26]. Secondly, the n -dimensional ($n \geq 5$) star graph given by $G = (V, E)$ is not conditional $(8n-20)$ -diagnosable.

Theorem 9 n -dimensional ($n \geq 5$) star graph given by $G = (V, E)$ is not conditional $(8n-20)$ -diagnosable.

Proof Let $S = \{v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8\}$ where S can form a cycle in the clockwise and $S_1 = N(S) \cup \{v_1, v_2, v_5, v_6\}$, $S_2 = N(S) \cup \{v_3, v_4, v_7, v_8\}$. Note that according to Lemma 9, $|N(S)| = 8n - 24$ and $|S_1| = |S_2| = 8n - 20$. For subsets S_1, S_2 , there exists no such a node v that $N(v) \subseteq S_1$ or $N(v) \subseteq S_2$. And for subsets S_1, S_2 , there exists no edge from $V - S_1 - S_2$ to $(S_1 - S_2) \cup (S_2 - S_1)$. Therefore, the system is not conditional $(8n-20)$ -diagnosable.

Theorem 10 n -dimensional ($n \geq 5$) star graph given by $G = (V, E)$ is not conditional $(8n-20)/8n -$

20) - diagnosable.

Proof Let $S = \{v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8\}$ where S can form a cycle in the clockwise and $S_1 = N(S) \cup \{v_1, v_2, v_5, v_6\}$, $S_2 = N(S) \cup \{v_3, v_4, v_7, v_8\}$. Note that according to Lemma 9, $|N(S)| = 8n - 24$ and $|S_1| = |S_2| = 8n - 20$. Now consider following syndrome σ under the condition that all nodes of $N(S)$ are faulty.

1) The test results from S to $N(S)$ are 1.

2) $\omega(\sigma; v_1, v_2) = 0$, $\omega(\sigma; v_2, v_1) = 0$, $\omega(\sigma; v_3, v_4) = 0$, $\omega(\sigma; v_4, v_3) = 0$, $\omega(\sigma; v_5, v_6) = 0$, $\omega(\sigma; v_6, v_5) = 0$, $\omega(\sigma; v_7, v_8) = 0$, $\omega(\sigma; v_8, v_7) = 0$, $\omega(\sigma; v_2, v_3) = 1$, $\omega(\sigma; v_3, v_2) = 1$, $\omega(\sigma; v_4, v_5) = 1$, $\omega(\sigma; v_5, v_4) = 1$, $\omega(\sigma; v_6, v_7) = 1$, $\omega(\sigma; v_7, v_6) = 1$, $\omega(\sigma; v_1, v_8) = 1$, $\omega(\sigma; v_8, v_1) = 1$.

3) The other possible test results are arbitrary.

For above syndrome σ , the system cannot isolate all faulty nodes within a set of size at most $8n - 20$. Therefore, the n -dimensional ($n \geq 5$) star graph is not conditional $(8n - 20/8n - 20)$ -diagnosable. The following is shown as Algorithm 2 and Algorithm 3.

Algorithm 2 Double-Syndrome conditional diagnosis: part 1

Algorithm: major neighbor:

Require:

A system by undirected graph $G = (V, E)$ with N nodes denoted by $\{u_1, u_2, \dots, u_N\}$ and a subset $F_c \subseteq V$ with $|F_c| = k$ and $a_i = 0 (1 \leq i \leq n)$.

Ensure:

A set N_{F_c} .

1) For each node $v_i \in V (0 \leq i \leq k - 1)$, if $u_j \in N(v_i) (1 \leq j \leq n)$, then $a_j = a_j + 1$.

2) Let $N_{F_c} = \{u_i \mid a_i \geq a_j \text{ where } 1 \leq j \leq n\}$.

3) Output the set N_{F_c} .

Algorithm: depth-first search:

Require:

A system given by undirected graph $G = (V, E)$ with N nodes and a node $v \in V$. Let $S = \{v\}$.

Ensure:

Several node sets $M_i (1 \leq i \leq N)$.

1) $DFS(v)$:

For each $u \in N(v)$

If $w(u, v) = w(v, u) = 0$.

$S = S \cup \{u\}$ and $DFS(u)$.

2) Output the nodes set S .

Algorithm: test neighbor:

Require:

A system given by undirected graph $G = (V, E)$ with N nodes and a subset $X \subseteq V$ and three sets T , F_c and M . Let $S = \{v\}$.

Ensure:

The set T , F_c and M .

1) Test (X, T, F_c, M)

For each node of $u \in N(X)$

If the test result from u to $N(X)$ is 0, then $T = T \cup \{u\}$ and test $(\{u\}, T, F_c)$. Otherwise $F_c = F_c \cup \{u\}$ and $M = M - \{u\}$.

2) Output the sets T , F_c and M .

Algorithm: test component:

Require:

A system given by undirected graph $G = (V, E)$ with N nodes and three sets T , F_c and M .

Ensure:

The set T , F_c .

Test component (T, F_c, M) :

1) For each node $w \in M$, if there exists a node $x \in M$ such that $N(x) \cap M = \{w\}$, then $T = T \cup \{w\}$ and Test $(\{w\}, T, F_c, M)$.

2) If there exist 3 nodes $u, v, w \in M$ such that the test results of them are all 0, then $T = T \cup \{u, v, w\}$ and Test $(\{u, v, w\}, T, F_c, M)$.

3) If there exist 2 pair adjacent nodes $\{u, v\}$, $\{w, x\} \in M$ such that the test results of u, v (and w, x) are all 0, then $T = T \cup \{u, v, w, x\}$ and test $(\{u, v, w, x\}, T, F_c, M)$.

4) Repeat step 1) to step 3), until $V = T \cup F_c$. Output the set T , F_c .

Algorithm 3 Double-Syndrome conditional diagnosis: part 2

Require:

A system given by undirected graph $G = (V, E)$ with N nodes and a fault node set $F_c \subseteq V$ with $|F_c| = k$ obtained from Double-Syndrome diagnostic or other methods. And a fault bound t (that the system is conditional t -diagnosable).

Ensure:

A faulty node set F_c and a fault-free nodes set $T (T \cup F_c = V)$.

1) N_{F_c} = major neighbor (G, F_c).

2) For each node $u_i \in V - \bigcup_{j=1}^i S_j - F_c$ ($u_i \in N_{F_c}$ is a priority).

Do $DFS(u_i)$.

$S_i = DFS(u_i)$

If $|S_j| \geq t - |F_c| + 1$, where $1 \leq j \leq i$.

$T = T \cup S_j$ and $F_c = F_c \cup N(T)$.

3) If $V = T \cup F_c$, then output the fault-free node set T and faulty nodes set F_c . Otherwise go to step 4).

4) Let $M = V - T - F_c$ and $M = \{C_i \mid C_i \text{ is a component of } M\}$.

Test component (T, F_c, M).

Output the fault-free nodes set T and the faulty nodes set F_c .

5.3 Algorithms for conditional two-step (k, t)-diagnosable systems

In the following, a diagnosis algorithm is proposed called Double-Syndrome conditional diagnosis (DSCD) which combines Double-Syndrome diagnostic and the theories of conditional two-step (k, t)-diagnosable system.

Consider step 4) in Algorithm 3, the neighbors of the nodes of set M are all faulty. Note that in n -dimensional hypercube, $|M| \leq 4$ with at most one faulty node, in n -dimensional star graph, $|M| \leq 8$ with at most 3 faulty nodes. A similar argument of Lemma 3 can prove the rightness of step 4) in Algorithm 3.

Theorem 11 The algorithm DSCD has a time complexity $O(N \log_2 N)$, where N is the number of the nodes of the system.

Proof In Algorithm 3, step 1) costs $O(kn)$ time. Step 2) costs $O(N \log_2 N) + O(N)$ time. Step 3) and 4) costs $O(1)$ time. Hence the total time is $O(N \log_2 N)$.

Now the performance of the algorithm by computer simulation is shown below. Run the algorithm 1 000 times and the faulty nodes are randomly distributed in the system. Table 2 and Table 3 show the performance of this algorithm applied to n -dimensional hypercubes and star graphs.

Table 2 The number of faulty nodes identified by the algorithm under the n -dimensional hypercube

Dimension	7	8	9	10	11	12
Faulty nodes number	21	25	29	33	37	41
Identified faulty nodes	21	25	29	33	37	41

Table 3 The number of faulty nodes identified by the algorithm under the n -dimensional star graph

Dimension	7	8	9	10	11	12
Faulty nodes number	35	43	51	59	67	75
Identified faulty nodes	35	43	51	59	67	75

6 Conclusions

Under PMC model, a new method is proposed, which is called Double-Syndrome diagnostic to diagnosis the faulty nodes by comparing the 2 syndromes. In general, the average number of faulty nodes which can be identified by Double-Syndrome diagnostic is much larger than other methods. Furthermore, for a given faulty node set F_c , in order to deal with the remaining faulty nodes in the system, two-step (k, t)-diagnosable strategy and two-step ($k, t/t$)-diagnosable strategy are proposed. For a given t' -diagnosable system, its two-step (k, t)-diagnosability has a minimum value which is equal to t' . Meanwhile, with the purpose of increasing the diagnosability, the concept of conditional two-step (k, t)-diagnosable system and the concept of conditional two-step ($k, t/t$)-diagnosable system are proposed. Similarly, for a given conditionally t' -diagnosable system, the conditional two-step ($k, t/t$)-diagnosability has a minimum value which is equal to t' .

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Liang Jiarong, born in 1966. He received the B. S. degree in mathematics from Central China Normal University, China, in 1991, M. S. degree in applied mathematics from Northwest University, China, in 1994 and Ph. D. degree in Institute of Automation from South China University of Technology in 1998, respectively. He is a professor in School of Computer and Electronic Information, Guangxi University, China. His research interests include fault-tolerant computing, interconnection networks, and algorithm theory.